

5           **SYSTEM AND METHOD FOR NETWORK PHONE HAVING ADAPTIVE  
TRANSMISSION MODES**

**Cross-Reference to Related Applications**

10           The subject matter of this application is related to the  
subject matter of U.S. Patent Application Serial Nos.  
09/188,297 entitled "Local Area Network Incorporating  
Universal Serial Bus Protocol" filed November 10, 1998;  
Serial No. 09/439,501 entitled "Local Area Network Accessory  
for Integrating USB Communications in Existing Networks" filed  
15 November 12, 1999; Serial No. 09/386,215 entitled "USB  
Networking on a Multiple Access Transmission Medium" filed  
August 31, 1999, each of which applications is assigned or  
under obligation of assignment to the same entity as this  
application, and each of which is incorporated by reference.

20           **Field of the Invention**

25           The invention relates to the field of communications, and  
more particularly to a telephone device capable of  
transparently selecting SIP-based, POTS or other telephone  
service based on line and other conditions, or selectively  
interfacing to other computer-based applications or services.

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Background of the Invention

Computer integrated telephony has seen developments in recent years including packet-based telephony protocols, such as Voice-over-IP, Voice-over-ATM and others. Underlying transport media may not contain commands to manage call resources, such as to connect a caller to a recipient according to network address, available route, or meter or bill the call. Digital voice path techniques therefore typically require additional services for call setup, monitoring and call teardown purposes.

One protocol capable of generating and managing packet network call resources is the Session Initiation Protocol (SIP). The SIP protocol is known in the art, and provides call setup and management services generally analogous to those of Signaling System 7 (SS7) in the public telephony network so that end systems, proxies and other data network platforms may initiate and terminate calls or other messaging events.

Thus, SIP includes messaging for click-to-dial, call invite, ringing, recipient acknowledgment, hangup (bye) and other commands and actions generally similar to the ringing, call pickup, termination and other functions of the public switched telephone network.

5 In connection with the increasing computer integration of telephony functions, some devices with telephony capability may be connected to host computers via standard interfaces, such as the Universal Serial Bus (USB) promulgated by Intel Corp. and others.

10 Even with the advent of the ability to initiate computer-based telephone connections over the Internet or other networks using SIP or other protocols, establishing a high-quality voice path between the connected parties using VoIP or other transport media is not guaranteed. This is in part  
15 because there is no standard for guaranteeing quality of service (QoS) over public data networks like the Internet.

Consequently, there are occasions when a telephone user may prefer to place a call over the public switched telephone network because of the comparatively robust grade of voice  
20 quality in that medium. However, no mechanisms exist for SIP-based or other devices to transparently revert to POTS calls when Internet quality degrades, or to automatically attempt an Internet-based call at those times when that medium offers cost or other advantages. Other drawbacks exist.

### Summary of the Invention

The invention overcoming these and other problems in the art relates to a system and method for a network phone having

5 adaptive transmission modes, in which a SIP-enabled telephone  
is connected to a host computer for automatic call allocation  
to the public switched telephone or packet-based networks,  
depending on line conditions or other variables. In one  
embodiment the SIP-enabled telephone may interface to the host  
10 computer via a standard protocol such as USB.

In another embodiment, the host computer may execute a  
media management module to enable extended multimedia  
functions, such as intercom service, wireless telephone, voice  
browsing or other features using the SIP-enabled telephone as  
15 a front end device.

#### Brief Description of the Drawings

The invention will be described with reference to the  
accompanying drawings, in which like elements are referenced  
with like numerals.

20 Figure 1 illustrates a communications architecture  
according to an embodiment of the invention.

Figure 2 illustrates a host computer in more detail  
according to an embodiment of the invention.

Figure 3 illustrates a host computer in another regard  
25 including multimedia features according to an embodiment of  
the invention.

5        Figure 4 illustrates a flowchart of call processing according to an embodiment of the invention.

      Figure 5 illustrates a communications architecture according to an embodiment of the invention including WML capability.

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#### Detailed Description of Preferred Embodiments

As illustrated in Figure 1, an overall architecture for communications according to the invention may in one embodiment include a USB telephone 102 which is connected to a host computer 106 via USB connection 104. The host computer 106 may be or include, for example, a personal computer running the Microsoft Windows™ 95, 98, Millenium™, NT™, or 2000, Windows™CE™, PalmOS™, Unix, Linux, Solaris™, OS/2™, BeOS™, MacOS™ or other operating system or platform.

20        The host computer 106 may include a microprocessor such as an Intel x86-based device, a Motorola 68K or PowerPC™ device, a MIPS, Hewlett-Packard Precision™, or Digital Equipment Corp. Alpha™ RISC processor, a microcontroller or other general or special purpose device operating under  
25        programmed control. The host computer 106 may furthermore include electronic memory such as RAM (random access memory) or EPROM (electronically programmable read only memory), storage such as a hard drive, CDROM or rewritable CDROM or

5 other magnetic, optical or other media, and other associated components connected over an electronic bus, as will be appreciated by persons skilled in the art.

Furthermore, while referred to as a computer, the host computer 106 may also be or include other intelligent devices, 10 for instance a network-enabled appliance such as a WebTV™ unit, radio-enabled Palm™ Pilot or similar unit, a set-top box, a networkable game-playing console such as Sony Playstation™ or Sega Dreamcast™, a browser-equipped cellular telephone, or other TCP/IP client or other device.

15 The host computer 106 may include a human interface 108, such as a graphical user interface on a CRT or other computer display, to display call, multimedia and other information to the user. The USB telephone 102 may include a handset with microphone and earpiece, or a headphone-type headset with 20 microphone and earpiece, a standalone microphone, a ringer, associated electronics such as A/D converters, keypad, speaker or other wired or wireless transducers, transceivers and other devices.

The USB connection 104 may adhere to the USB 1.0, 2.0 or 25 other standard supporting daisy-chained serial connections at throughputs for instance of 12 Mbs or other rates sufficient for telephony processing, and connect the USB telephone 102 and the host computer 106 for telephony use. Typically the

5 communication between the host computer 106 (or other software/hardware device) and the USB phone 102 may use any of the different modes of USB data transfer to effect communications.

For instance, USB Control/Setup transfers may be used to  
10 enumerate, configure or reset the USB phone 102 for operation on the bus. USB Interrupt transfers may be used to detect any user activity with the phone including detecting specific key presses and on and off-hook actions. USB Isochronous transfers may be used to deliver speech payload to the phone speaker and  
15 receive it from the phone microphone. USB Bulk or Control transfers may be used to send ringing or other alerting signals (lamps) as well as to write any messages (such as caller ID) to an LCD or other display on the USB phone 102.

In general, given that VoIP communications standards are  
20 evolving, one robust approach for such a system whose sensitivity to these changing standards may be reduced is that which implements the telephone largely as a stimulus device. In this case, the driver software in the host computer 106 or other accompanying platform can be updated and evolve, and yet  
25 still interact with these terminal stimuli to conform to the current standards in place. In this way, a USB phone 102 according to the invention can remain useful over many generations of VoIP or other standards.

5 In an embodiment of the invention for instance illustrated in Figure 1, the USB phone 102 may be connected to the host computer 106 via a wired USB connection 104. The host computer 106 is in turn connected to telecommunications and network resources for call processing. The host computer 106 may for instance be connected over communications link 110 to the public switched telephone network 114, to which in turn a recipient telephone device 118 is connected. The communications link 110 may be or include, for instance, the local loop connected to the local telephone central office in the user's area, or other resources.

The host computer 106 may also be connected to a data network, for instance via communications link 112 to the public Internet 116, to which a recipient telephone device 120 may in turn be connected.

Each of communications links 110 and 112 may be, include or interface to any one or more of, for instance, the Internet, an intranet, a PAN (Personal Area Network), a LAN (Local Area Network), a WAN (Wide Area Network) or a MAN (Metropolitan Area Network), a storage area network (SAN), a frame relay connection, an Advanced Intelligent Network (AIN) connection, a synchronous optical network (SONET) connection, a digital T1, T3, E1 or E3 line, Digital Data Service (DDS) connection, DSL (Digital Subscriber Line) connection, an



5 Ethernet connection, an ISDN (Integrated Services Digital  
Network) line, a dial-up port such as a V.90, V.34 or V.34bis  
analog modem connection, a cable modem, an ATM (Asynchronous  
Transfer Mode) connection, or an FDDI (Fiber Distributed Data  
Interface) or CDDI (Copper Distributed Data Interface)  
10 connection.

Communications links 110 and 112 may furthermore be,  
include or interface to any one or more of a WAP (Wireless  
Application Protocol) link, a GPRS (General Packet Radio  
Service) link, a GSM (Global System for Mobile Communication)  
15 link, a CDMA (Code Division Multiple Access) or TDMA (Time  
Division Multiple Access) link such as a cellular phone  
channel, a GPS (Global Positioning System) link, CDPD  
(cellular digital packet data), a RIM (Research in Motion,  
Limited) duplex paging type device, a Bluetooth radio link, or  
20 an IEEE 802.11-based radio frequency link. Communications  
links 110 and 112 may yet further be, include or interface to  
any one or more of an RS-232 serial connection, an IEEE-1394  
(Firewire) connection, a Fibre Channel connection, an IrDA  
(infrared) port, a SCSI (Small Computer Systems Interface)  
25 connection, a USB (Universal Serial Bus) connection or other  
wired or wireless, digital or analog interface or connection.  
Other communications links described herein may be, include or  
interface to similar resources.

5 As illustrated in Figure 2, in one embodiment a user wishing to use the USB telephone 102 to initiate a call event may activate the host computer 106, which may invoke, execute or manage a set of media and other resources for that purpose. As illustrated, upon activation a control module 126 may  
10 establish the connection with the USB telephone 102 via USB connection 104, generate graphical or other information on the human interface 108 and manage other tasks and resources needed for call processing.

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15 The control module 126 may receive and store desired call parameters for the user, for instance minimum call quality parameters which will be acceptable for the user to place a network-based call. For instance, the control module 126 may monitor the communications link 112 to determine line conditions or other variables for the placement of a digital  
20 network call. These variables may include signal-to-noise ratio (SNR), packet congestion or delay, or other parameters affecting the quality, features, costs or other aspects of a call.

25 A user may set via the human interface 108 a minimum set of parameters including sound quality which they will accept for a SIP-based network call, which may be translated into SNR and other criteria by control module 126. Other parameters besides audio or network variables may be programmed, such as

5 time of day, day of week, long distance or other telephone  
cost to take advantage of Internet-based telephony during high  
rate periods. User-defined routing variables, such as the  
routing of all 1+ numbers (long distance) using SIP or IP  
compliant networks but all other calls over POTS, may also be  
10 programmed.

Thereafter, upon activation of the control module 126 in  
preparation for a telephone call, the control module may probe  
the communications link 112 and other information to determine  
if the criteria for making a SIP-initiated network-based call  
are met, at the time of placing the call.

If the criteria are met, the control module 126 may set  
up the remainder of the resources necessary to establish a  
SIP-based connection to a recipient telephone device 120.  
The control module may invoke SIP module 122 and SIP stack 124  
to transmit, receive parse SIP commands, a Transfer Control  
Protocol/Internet Protocol (TCP/IP) client 130 for Internet or  
other network interface, and a Real Time Protocol (RTP) stack  
134 to manage streaming media and other information for call  
processing.

For instance, the SIP module 122 may transmit a Call  
Invite command to the recipient telephone device 120, in this  
instance a SIP-enabled device, to await a 200 OK or other  
acknowledgment message for processing the call. Once the call

5     setup is achieved via SIP messaging, a voice or other path,  
such as VoIP or VoATM, may be established between the USB  
telephone 102 and the recipient telephone device 120. Other  
voice path or other protocols may be used, such as voice over  
UDP or fax over TCP, or others known in the art. Call  
10   processing may proceed according to known messaging according  
to those protocols, once established.

Conversely, if during call initiation the control module  
126 determines that conditions on communications link 112 or  
other variables are insufficient to prepare a call event  
15   according to the user's criteria, the control module 126 may  
automatically revert to delivering the call over the public  
switched telephone network 114, illustratively via  
communications link 110.

In this instance, the control module 126 may activate  
20   other resources, such as Analog-to-Digital (A/D) module 128 to  
convert the serial voice data received via USB connection 104  
to analog output to the local loop. Call setup may then  
proceed according to the SS7 or other telephony protocols to  
recipient telephone device 118.

25     Since control module 126 may select between SIP/USB and  
POTS operation quickly and without intervention by the user,  
the user may enjoy the benefits of packet-based  
communications, including lower cost, automatically and

5 transparently under conditions of their choosing. However,  
the user retains the benefits of fallback to the public  
telephony network on those occasions when data network  
transmission is degraded or otherwise undesirable. Those PSTN  
benefits include a high connection reliability and audio  
10 clarity, for which usage the user may or may not pay long  
distance or other charges.

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15 The provisioning of the control module 126 and media  
management module 132 as illustrated in an embodiment shown in  
Figure 3 permits other feature extensions according to the  
invention. As shown in that figure, the media management  
module 132 may supervise a set of media resources on the host  
computer 106, including native media applications 136a, 136b ...  
136n (n arbitrary) that make use of the audio, video and other  
media of the USB telephone 102, host computer 106 or the two  
20 combined.

For instance, as illustrated, the native media  
applications may include a wireless interface module 136a,  
communicating with transceiver 140. Transceiver 140 may be or  
include, for instance, a Bluetooth 2.45 Ghz station, an  
25 infrared transceiver or other device. The transceiver 140 and  
control module 126 may for instance permit the USB telephone  
102 to act as an intercom, a pager, an answering machine for  
incoming calls or a cordless telephone for outgoing or

5 incoming Internet or PSTN calls within proximity to host computer 106. Audio may be outputted to the user through transducers 138, such as computer speakers or other devices.

10 The native media applications may likewise include an audio/visual module 134b, such as an audio management tool such as an MP3 codec, RealAudio or other package. A video management tool such as Avid, RealVideo or other packages or protocols may also be used for video teleconferencing or other applications, if the USB telephone 102, host computer 106 or other resources are equipped with video input. Video or  
15 combined audio/video streams again may be output over data network or telephony links. Other multimedia applications are possible.

Overall processing according to an embodiment of the invention is illustrated in Figure 4. In step 402, processing  
20 begins. In step 404, a USB client connection may be established to USB telephone 102. In step 406, the host computer 106 may invoke the control module 126 to perform call testing, channel selection and call setup. In step 408, call processing may begin. In step 410, the control module 126 may  
25 execute line condition or other tests on communications link 112 to determine if minimum criteria are satisfied, such as SNR or packet delay.

5 In step 412, a determination may be made whether the  
transmission criteria for the user may be met. The control  
module 126 may record different criteria for different users,  
and present a user login screen to apply those criteria. If  
the determination of step 412 is that the transmission  
10 criteria are not met, then call processing proceeds to step  
414. In step 414 a call may be dialed using the public  
switched telephone network via POTS and SS7 signaling, or  
other telephony standards. In step 416, call teardown of the  
public telephony network call may be completed and processing  
15 continues to step 424.

If the determination of step 412 is that the transmission  
criteria for the user are met, in step 418 the RTP stack 134  
may be initialized for SIP-based call generation. In step  
420, a SIP Call Invite command may be transmitted to the  
20 recipient telephone device 120. In step 422, the remainder of  
call processing, such as setting up voice path and eventually  
ending the call via a Bye command, may be completed.

In step 424, a determination may be made whether  
multimedia processing may be desired. If the answer is yes,  
25 processing proceeds to step 426 in which the native media  
applications 136a, 136b ... 136n may be invoked as appropriate  
by media management module 132 for paging, intercom, cordless  
telephone, answering machine, video or other functions. After

5 such media processing is complete or none is desired, processing ends in step 428.

10 In an embodiment of the invention illustrated in Figure 5, the USB phone 602 may be implemented in a cordless arrangement, where the cordless base station 606 is attached to the host computer 106 via a USB connection 104. The handset of the USB phone 602 is free to roam at significant distances from the base station 606 and communicate to the base station 606 via a wireless channel 604, which may for instance be a radio frequency or infrared link.

15 In this embodiment, the transmission over the wireless link 604 may use conventional cordless techniques (with stimulus signaling with an analog or digital speech payload) and the conversion to/from USB format may occur in the base station 606.

20 When a user is away from host computer 106, a cordless phone with a connection through to the Internet can provide significant value over and above traditional cordless phones that operate into the PSTN. For instance, a USB phone 602 with an LCD or other display with appropriate keypad can deliver WAP Internet services for a user when he or she has no  
25 convenient access to host computer 106. In an embodiment, the driver software in the host computer 106 may use HTTP request/responses (including Get and Post) to get access to



5 Wireless Markup Language (WML) documents on the Internet for presentation to the user on the handset, using HTTP protocol stack 140 and other resources. WML documents are the HTML equivalents for handheld browser phones.

10 A WML document allows explanatory text, hyperlinks and user input to be displayed and acted upon with small form factor browser phones. Illustrative of such services enabled are: headline news, sports, weather and stock reports, telephone banking, email and many other services. Conventionally, WAP services are provided on special purpose  
15 wireless phones, but there is no barrier to delivering WAP services to wired or cordless phones if these have access to an IP connection.

20 In a SIP/IP context, a WAP capability has several benefits, two of which are phone directories and navigation of SIP URLs. Exploiting the HTTP access to WML content (the portion of WAP needed in a wired/cordless context), a user may log into WML enabled telephone directories to search for numbers of persons they may wish to call.

25 The invention in this embodiment may incorporate a WML rendering module 142 to access WML content. The WML language allows hyperlinks to be embedded in the display, such that choosing a hyperlink typically causes the driver software in the host computer 106 to fetch a new WML document at a new URL

5 associated with the hyperlink (useful for instance in  
navigating a large directory). However, the WML language also  
has the facility for specifying a phone number as the  
hyperlink action - in this case, if the user chose that  
hyperlink the driver software may establish a telephone call  
10 to the specified phone number.

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15 Finally, an extension to standard WML usage may allow a  
SIP URL to be associated with a hyperlink, such that when a  
user selects such a link, the driver software for the USB  
phone 602 immediately knows that a SIP connection is possible  
and the driver proceeds to set-up a SIP connection if  
appropriate. User convenience is enhanced in such  
circumstances, as a user browsing stock prices from a WML page  
may click to call a broker to initiate a stock trade or even  
bypass the broker and initiate a trade using WML interactions  
20 (not requiring SIP connections). It may be noted that HDML, a  
precursor to the WML standard developed by Phone.com, can also  
deliver an equivalent capability.

The foregoing description of the system and method of the  
invention is illustrative, and variations in configuration and  
25 implementation will occur to persons skilled in the art.

For instance, while the invention has been described with  
respect to a configuration where a single USB telephone 102  
communicates to host computer 106 via a USB connection 104,

5 more than one SIP or other network-enabled device could be connected to the host computer 106. Such devices may be built for protocols that extend or complement SIP, or to use other protocols such as ITU H.323. Such a plurality of devices could be connected to the host computer 106 with different  
10 types of connections, for instance Small Computer Systems Interface (SCSI) or other interfaces in addition to USB.

Moreover, while the host computer 106 is illustrated as a single device, the functions of host computer 106 may in another embodiment be distributed across a plurality of  
15 computers or other platforms, and different modules distributed therein. The communications links 110 and 112 have been illustrated as separate, but may be combined in another embodiment. Other resources illustrated as plural may be combined, and those illustrated as singular may be  
20 distributed across multiple modules, platforms or devices.

Other network configurations are possible. For instance, in another embodiment the telephone device itself may be both USB and SIP enabled, in which case the control logic to determine which network or data connection used for a given  
25 call is located in the handset. In a further embodiment, the telephone device itself may be both POTS and SIP enabled, in which case the base of the device contains both telephone (RJ-11 or other) connections plus a network connection or port for

5 SIP, with control logic residing in the telephone device and no computer or other host being necessary. In a yet further embodiment, the telephone device may contain control logic and connections for each of POTS, USB and SIP for maximum connectivity.

10 Similarly, while the invention has been generally described in terms of reverting to call completion via the public switched telephone network when network conditions are not adequate, the calling mode could revert to other modes such as wireless telephone calls, a try-back mode to attempt  
15 another SIP-based call in a predetermined amount of time, or a series of such modes. Similarly, if desired the default medium may be set to POTS with transmission falling back to SIP or other based Internet telephony under defined conditions. The scope of the invention is accordingly  
20 intended to be limited only by the following claims.